



# Protect yourself and your employees' futures with Mesirow

Mesirow can help you meet your plan sponsor investment responsibilities through their fiduciary partnership services.



As your company's retirement plan sponsor, it's up to you to provide your employees with a diverse set of quality investment options within the plan. However, if you're like most plan sponsors, you don't have the time nor the expertise to select and continually monitor your plan's investments. We can help.

We offer you access to Mesirow—an independent third-party consultant that provides fiduciary investment services.



## EXPERIENCE

Since 2006, Mesirow has helped tens of thousands of businesses meet their fiduciary responsibilities with their prudent retirement plan investment-monitoring process.

## SERVICES

Mesirow offers two retirement plan investment selection and monitoring services under the Employee Retirement Income Security Act (ERISA).

- **Investment management §3(38):** Mesirow assumes full discretionary authority and liability for selecting and monitoring your plan's investment options.
- **Investment advice §3(21):** Mesirow provides advice, and you select the investments from their approved list. You and Mesirow share liability for selecting investments.

## PROTECTION

Mesirow's services will help protect you from certain ERISA third-party lawsuits related to the selection and monitoring of your plan's investment lineup—including breach of ERISA fiduciary duty—and help provide indemnification.

## SIMPLICITY

Mesirow's §3(38) fiduciary partnership service offers pre-built lineups based on the funds available to you. This investment management approach allows you to review a series of ready-made lineups and choose based on the characteristics of your employees. Mesirow selects, monitors, and—if necessary—decides when to replace investments in your chosen lineup.

## REASSURANCE

You'll receive a quarterly copy of Mesirow's investment review, which identifies current and potential investment issues. Mesirow's monitoring criteria include:

- Performance
- Organization
- Investment policy
- Consistent style
- Expense

If an investment option is flagged for any of these reasons, it'll remain on the report during the monitoring process. It'll be removed from the report after the issue is resolved.

## METHODOLOGY

Mesirow Financial PrecisionAlpha<sup>®</sup> is a proprietary manager selection methodology at the forefront of the industry. It combines talent, discipline, rigorous fund-selection criteria, and ongoing due diligence to help identify alpha-generating managers (managers that outperform a pre-selected investment benchmark).

**Mesirow's services can help you reduce your responsibility for your plan's investment selection and monitoring. Ready to learn more? Let's talk.**

**Contact our 401(k) department at 800-473-6879; New York residents, please call 800-962-2922. Or visit [sentry.com](https://www.sentry.com).**

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